



National Institute of  
Environmental Health Sciences

# WTP Awardee Meeting

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# Grants Management Overview

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Division of Extramural Research and Training

National Institute of Environmental Health Sciences

National Institutes of Health

Department of Health & Human Services

## **NIH - Research and Training for the People**

The NIH invests over \$40 billion annually in medical research and training for the American people

More than 80% of the NIH's funding is awarded for extramural research, largely through almost 50,000 competitive grants to more than 300,000 researchers at more than 2,500 universities, medical schools, and other research institutions in every state and around the world.

## Grants Management

The Grants Management Officer (GMO) is the NIH official authorized to obligate NIH to the expenditure of funds and permit changes to approved projects on behalf of NIH, such as the funding, duration, or other terms and conditions of an award

## Policy Updates and Resources

- Notices of NIH Policy Changes website - <https://grants.nih.gov/policy/notices.htm>
- To subscribe to receive NIH Policy Updates - [https://grants.nih.gov/grants/guide/listserv\\_dev.htm](https://grants.nih.gov/grants/guide/listserv_dev.htm)

## Annual Federal Financial Report (FFR)

- Due 90 days after the end of the calendar quarter in which your  
-budget period ends (05/31 BP end, FFR due 9/30)  
-budget period ends (07/31 BP end, FFR due 12/31)
- Final (due 120 days after end of project period)
- Important to report on time as Auditors review for timeliness

## Annual FFR

- Unobligated Balance reported should be cumulative – total from all previous budget periods
- Unliquidated Obligations are allowable (any contractually obligated funds that have not yet been drawn)
- If FFR gets rejected, please revise immediately and resubmit

## Federal Financial Reports (FFRs)

The [National Institutes of Health](#) (NIH) announced in Notice [NOT-OD-23-035](#), the implementation of a new inbox for inquiries related to the submission and processing of Federal Financial Reports (FFRs) and financial closeouts

**Effective immediately, FFR and financial closeout inquiries can be sent to [OPERAFFRInquiries@od.nih.gov](mailto:OPERAFFRInquiries@od.nih.gov) to be addressed by the [Office of Policy for Extramural Research Administration](#) (OPERA) FFR Reconciliation and Financial Closeout Support Center**



## FFR for Covid-19 Funds

- A separate progress report is required under section G.1, Special Reporting Requirements, as part of the RPPR of the parent grant. The progress report for the supplement should describe what was accomplished under the supplement for the reporting period
- A separate Federal Financial Report (FFR) (SF 425) is required for funds issued as a Covid-19 supplement

## Unobligated Balances (G.10 RPPR and FFR)

- Report cumulative unobligated balance = unobligated balance remaining from all previous budget periods + estimated unobligated balance from the budget period that is just ending
- Percentage is calculated by the Cumulative Unobligated Balance divided by the amount awarded on the last Notice of Award (including any authorized carryover)
- Unless you requested carryover into the budget period that is just ending, unobligated balance in G.10 cannot be less than the unobligated listed on your most recently accepted annual FFR
- Unobligated Balance reported on FFR is restricted until a Carryover Request is processed

## Carryover

- There is an immediate bona fide\* need
- Only after the FFR has been accepted by NIH

NOTE: The *bona fide* needs rule is one of the fundamental principles of appropriations law: A fiscal year appropriation may be obligated only to meet a legitimate, or *bona fide*, need arising in, or in some cases arising prior to but continuing to exist in, the fiscal year for which the appropriation was made.

# Carryover

<https://www.niehs.nih.gov/funding/grants/instructions/carryover/index.cfm>

## Questions?

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