



Worker Training Program Grantee Meeting

April 30, 2024

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Division of Extramural Research and Training (DERT)
National Institute of Environmental Health Sciences (NIEHS)**



The Plan

- Structure
- Policy Updates
- Reporting
- Carryover
- Offset
- Foreign

NIEHS Grants Management Structure

- **Chief Grants Management Officer** (Jenny Greer) – responsible for the program in the context of the entire NIEHS grants portfolio; policy resource
- **Team Leads** (James Williams and Lisa Edwards) – responsible for the day-to-day management of the overall program; issues written guidance to the Grants Management Specialists assigned to the individual grants; addresses policy issues that affect all projects in the program
- **Grants Management Specialists** (Camilo Asuncion, Latavia Miller, Caleb Rogers, Lisa Edwards, Alicia Graham, Francoise Santos, Kris Battle, Jae Dobson and James Williams) – responsible for the day-to-day management of individual grants; resource for AOR/SO; address issues that affect individual grants

Grants Management Specialist assignments change from time to time – check the Commons



Policy Updates and Resources

- Notices of NIH Policy Changes website -
<https://grants.nih.gov/policy/notices.htm>
- To subscribe to receive NIH Policy Updates -
https://grants.nih.gov/grants/guide/listserv_dev.htm

Single Audit

- Organization-wide financial statement and federal awards' audit of a non-federal entity that **expends** \$1,000,000 or more in federal funds in one year
- Changed from \$750,000 to \$1,000,000 with the recent update of 2CFR 200 per <https://www.federalregister.gov/documents/2024/04/22/2024-07496/guidance-for-federal-financial-assistance>
- Intended to provide assurance to the Federal Government that a non-federal entity has adequate internal controls in place, and is generally in compliance with program requirements
- Audits must be submitted to the Federal Audit Clearinghouse

Single Audit - continued

- Non-federal entities typically include states, local governments, Indian tribes, universities, and non-profit organizations
- Audits must be completed and submitted to the [Department of Health and Human Services, Audit Resolution Division](#) within 30 days after receipt of the auditor's report(s), or 9 months after the end of the audit period, i.e., the end of the organization's fiscal year, whichever is earlier
- [NIH GPS Section 8.4.3, *Audit*](#)

Federal Financial Report (FFR)

Annual is due 90 days after the end of the calendar quarter in which your budget period ends:

-budget period ends (05/31, FFR due 9/30)

-budget period ends (07/31, FFR due 12/31)

Final is due 120 days after end of project period

- Final FFR cannot include any unliquidated obligations



Federal Financial Reports (FFRs)

The [National Institutes of Health](#) (NIH) announced in Notice [NOT-OD-23-035](#), the implementation of a new inbox for inquiries related to the submission and processing of Federal Financial Reports (FFRs) and financial closeouts

FFR and financial closeout inquiries can be sent to OPERAFFRInquiries@od.nih.gov to be addressed by the [Office of Policy for Extramural Research Administration](#) (OPERA) FFR Reconciliation and Financial Closeout Support Center

Unobligated Balance (G.10) – Overall Component

- Report cumulative unobligated balance =
unobligated balance remaining from all previous
budget periods + estimated unobligated balance
from the current budget period
- Percentage is calculated by the Cumulative
Unobligated Balance divided by the amount
awarded on the last Notice of Award (including any
authorized carryover)
- Unless you requested carryover into the budget
period that is just ending, unobligated balance in
G.10 cannot be less than the unobligated listed on
your most recently accepted annual FFR

Carryover

- Based on immediate, Bona Fide need
- Re-budget to use funds from current budget period first
- Demonstrate that you are spending down funds at an appropriate rate before requesting carryover
- Ask for carryover before supplement

Carryover into No-cost extension (NCE)

- When grants goes into the first no-cost extension year (extend current budget/project period), you do not need to request carryover from the last budget period. Grantees have the full period to spend the funds
- If there are funds remaining from earlier budget periods (1-4) you will have to request carryover into the NCE period, if you have not already carried them over.



Carryover

<https://www.niehs.nih.gov/funding/grants/instructions/carryover/index.cfm>



Offset

- the use of the unobligated funds from a prior budget period to partially pay new funds awarded in a current year budget



Foreign

- Foreign components are generally not allowed on this program
- Any special circumstances where conducting training at any location that is not governed by US laws, recipient must submit a formal request in advance to the GMS from the signing official



Questions?

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