Awardee Meeting Agenda
Local, State and Federal Partnerships for Chemical Preparedness and Response
April 29, 2009 • Millennium Hotel Cincinnati • Cincinnati, OH
WETP Awardee Meeting

NIEHS Update

April 29, 2009
• Performance, RFA, Stimulus Funding
• Training Accomplishments
• Minority Worker Training Issues
• Curricula Catalog Updates
• SBIR Awards
• NIH Update
Joseph “Chip” Hughes
Director, WETB

Program Updates
$7 M for Hazardous Waste Worker Training Program and SBIR Hazmat E-Learning Program Administrative Supplements

- Target for U45:
  - hazardous components of AARA jobs dealing with potential exposures in regular 1910.120 cleanups, large-scale construction, demolition, brownfields-type redevelopment and public works projects.
  - emerging green sector jobs - customization of safety and health training in commercial and residential weatherization, alternative energy development, green remediation, green construction and emerging industries like nanotechnology.
  - for new workers for stimulus projects and emerging green jobs career-enhancement and life skills training
  - Creation of federal, state and local safety and health training partnerships to support AARA activities.

- Target for R43/R44:
  - Appropriate e-learning applications, development of tools to support the transfer and dissemination of S&H info and training materials to support broad ARRA goals of creating and assuring safe and healthy jobs.
Sharon D. Beard
Industrial Hygienist, WETB

Minority Worker Training Issues
Progress Reports
RFA/FOA Issues
• Training Accomplishments
• Performance and Progress Report
• FOA/RFA Update
• Brownfields 2009 – New Orleans, LA on November 16-18, 2009 (MWTP Breakout Discussion)
• UPDATE ON CURRENT TRAINING SITES

– Dillard University: New Orleans, LA; Detroit, MI; Baton Rouge, LA; and Savannah, GA

– OAI, Inc.: Chicago, IL; Bronx, NY; Dallas, TX and Kansas City, MO

– CPWR: New Orleans, LA; East Palo Alto, and Los Angeles, CA; and St. Paul, MN;

– UMDNJ: New York, NY and Newark, NJ
BMWTP Job Placement Rates


Source: NIEHS WETP DMS
All initial progress reports for HWWT, HDTP, BMWT and MWT Programs were due on June 1, 2009.

For the DOE Program, they are due July 1, 2009.

Use FY2009 budget projections from your Notice of Grant Award for Award Amount.
Funding Opportunity Announcements/ RFA’s

Anticipated Dates

• Release Date: July 2009
• Response Date: November 2009
• Review Date: Feb/March 2010
• Award Date: July/August 2010
Ted Outwater
Public Health Educator/Program Administrator, WETB

Program Updates
Hazardous Waste Worker Training Program
### HWWTP TOTAL TRAINING

#### 4 year Summary

FOR BUDGET PERIOD 09/01/2004 - 07/31/2008

<table>
<thead>
<tr>
<th>Year</th>
<th>Total Courses</th>
<th>Total Workers</th>
<th>Total Contact Hours</th>
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<tbody>
<tr>
<td>2005</td>
<td>7,662</td>
<td>130,825</td>
<td>1,211,440</td>
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<tr>
<td>2006</td>
<td>6,696</td>
<td>111,872</td>
<td>1,159,651</td>
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<td>2007</td>
<td>7,848</td>
<td>131,037</td>
<td>1,425,059</td>
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<tr>
<td>2008</td>
<td>8,115</td>
<td>140,126</td>
<td>1,385,505</td>
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<tr>
<td>TOTAL</td>
<td>30,321</td>
<td>513,860</td>
<td>5,181,655</td>
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- Training in all fifty states and the territories EXCEPT???
8 Hour Site Worker Refresher

<table>
<thead>
<tr>
<th>Year</th>
<th>Total Courses</th>
<th>Total Workers</th>
<th>Total Contact Hours</th>
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<tbody>
<tr>
<td>2005</td>
<td>1,237</td>
<td>20,471</td>
<td>167,036 (163,768)</td>
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<tr>
<td>2006</td>
<td>1,086</td>
<td>18,580</td>
<td>150,968 (148,640)</td>
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<tr>
<td>2007</td>
<td>1,403</td>
<td>23,259</td>
<td>186,512 (186,072)</td>
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<tr>
<td>2008</td>
<td>1,145</td>
<td>18,893</td>
<td>152,709 (151,144)</td>
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</table>

• Hmmmmmmmmmmmmmm?
DOE Nuclear Worker Training Program

WORKER EDUCATION AND TRAINING PROGRAM
DEPARTMENT OF ENERGY
4 YEAR TRAINING SUMMARY

FOR BUDGET PERIOD 09/01/2004 - 08/31/2008

<table>
<thead>
<tr>
<th>Year</th>
<th>Total Courses</th>
<th>Total Workers</th>
<th>Total Contact Hours</th>
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<tbody>
<tr>
<td>2005</td>
<td>1,961</td>
<td>25,442</td>
<td>329,840</td>
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<tr>
<td>2006</td>
<td>2,044</td>
<td>26,365</td>
<td>325,533</td>
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<tr>
<td>2007</td>
<td>2,283</td>
<td>34,074</td>
<td>402,635</td>
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<tr>
<td>2008</td>
<td>2,225</td>
<td>33,702</td>
<td>414,746</td>
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<tr>
<td>TOTAL</td>
<td>8,513</td>
<td>119,583</td>
<td>1,472,754</td>
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</table>
DOE Partnership Update

- Partnership with DOE EM/ MOU
- 851 Meetings
- USW GAP Analysis
- “NEW” Partnership with HSS/NTC
- Safety Training Collaboration Plan
- Cooperative Training Model
Kathy Ahlmark, Program Analyst

WETB

SBIR Updates

Currently: Information in Place…MetaMedia…Equipment Simulations …Bandamar Networks
• OVER $6 Million in awards since 2002

• Wide Variety of Platforms: Computer-based; web-based; cell-phone; dvd, string and two cups

• Wide Variety of Content: Simulations, serious games, interactive and instructor led

• Wide Variety of Audiences: Skilled Support Personnel, instructors, product for firefighters to explain operating engineers; product for operating engineers explaining firefighters.

• So check out their displays and their breakout session tomorrow
James “Jim” Remington
WETB

Program Updates
NIH Update – Spring 2009
Recovery Act News
NIH Recovery Web site
www.nih.gov/recovery

ARRA Grant Funding Opps and Info at Grants.nih.gov/Recovery
Recovery and Accountability

• The opportunity afforded by the ARRA also carries enhanced accountability and transparency.
• Reports will be entered at www.FederalReporting.gov (under construction) and will be publicly available at www.recovery.gov
• Details still being addressed by OMB. – Stay Tuned!!
• More information will be provided at the Business Breakout Session
In addition to the standard NIH terms of award, all NIH ARRA awards will include special ARRA terms:


ARRA requires recipients to account for, track, monitor, report on, provide for audit of, and closeout ARRA funding separately from non-ARRA awards.

More information will be made available at the Business Breakout Session.

ARRA Grant Compliance

- The applicant organization certifies in the grant application that it is obligated to comply with the terms and conditions of any resulting grant award.
- Acceptance of a grant award is signified by drawdown of funds which then obligates the grantee to comply with all standard and special terms and conditions of award (e.g., based on statute, regulation, policy, program requirement).
- Failure to comply may cause NIH to take one or more enforcement actions, depending on the severity and duration of the non-compliance.
  - Enforcement actions may include special conditions, closer monitoring, withholding of support, recovery of funds, suspension, or termination.
New Scientific and Grants Management Policies
Implementation Update

• **Improve Scoring** – *Implementation in May, 2009.*
  - Applications will receive an overall score from each panel member from 1-9; scores will be averaged and multiplied by 10. Thus priority scores will range between 10-90.
  - Priority scores will be percentiled against an appropriate base and reported in whole numbers.

• **Improve Critiques** – *Implementation in May, 2009.*
  - Reviewer critiques will be compiled into a summary statement that will be shorter and more focused than currently due to standardized organization and reporting of strengths and weaknesses.
  - ALL applications (including streamlined applications) will receive feedback.

New Threshold for Negotiating F&A Rates on SBIR/STTR Grants

SBIR/STTR applicants proposing F&A rates of 40% of direct costs or less will not be required to provide further justification at the time of award, and F&A costs will be awarded at the requested rate.

- NIH retains authority to require well-documented proposals for F&A rates on an ad-hoc basis.
- If applicant has a currently effective rate, such rates should continue to be used in NIH applications.
- Policy applies to all competing SBIR/STTR applications submitted for FY2009 funding and beyond. Non-competing awards funded in 2009 or earlier will continue to be funded at the committed level if between 25%-40%.

Highlights of New and Existing Grant Requirements
FY 2009 OIG Audit Work Plan
Selected Highlights

• Colleges’ and Universities’ Compliance with Cost Principles
  – Cost transfers, effort reporting, and other areas
• NIH Monitoring of Extramural Conflicts of Interest
  – How NIH monitors extramural grantees’ FCOI and the effectiveness of NIH’s oversight
• Grantee Management of Financial Conflicts of Interest in Research Funded by the NIH
  – Monitoring of FCOIs, nature of FCOIs, and how FCOIs are managed (for FCOIs reported to NIH in FY 2006)
Audit Requirements

All NIH Grantees that expend $500,000 or more within a year in Federal awards are subject to an audit requirement.

- Audits are due within the earlier of 30 days after receipt of the auditor’s report(s) or 9 months after the end of the grantee’s audit period.
- Grantees delinquent in submitting audits risk the imposition of sanctions and potential loss of Federal funds.
Adobe Application Forms and Electronic Submission

Changes to electronic applications are on the way. Learn how this affects YOU today!
Electronic Submission: Update

- Adobe-based grant application forms are now available for all FOAs requiring electronic submission.
- Career Development Awards (Ks) transitioned to electronic submission.
- Next set of transitions:
  - New PHS 398 and SF 424 (R&R) Application forms expected in Fall, 2009.
  - Transition of F, T, and complex mechanisms.
Future Transitions to Electronic Submission with Adobe Forms

- Fellowship (F): August 8, 2009 (Tentative)
- Training (T): September 25, 2009
- Complex: TBD

Timeline is subject to change due to Grants.gov form development delays

Profile Maintenance

• PIs have an obligation to keep information in their Personal Profile current and need to update it regularly.

• Profile data used in other electronic processes (e.g., Summary Statements, eNotifications)
  – Degrees
  – Position Titles
  – Addresses - e-mail & postal

• Especially critical for researchers applying for early-stage and new investigator status.
Helpful NIH Technical Assistance Resources
Web Page on NIH Extramural Response to Natural Disasters

Part of NIH’s commitment to the health of the biomedical community in the impacted areas, and concern for the health and safety of people and animals in the programs we oversee.

Includes:
- Links to Recent Guide Notices and event-specific web page
- Links to other Federal web sites for disasters
- NIH Emergency Contact Information
- Examples of extramural assistance provided to previous natural disasters and emergencies
- Frequently Asked Questions

Available at: http://grants.nih.gov/grants/natural_disasters.htm
Summary of Helpful NIH Web Pages

- Office of Extramural Research (OER) Web Page
  http://grants.nih.gov/grants/oer.htm

- NIH Searchable Database of RFAs, PAs, and Guide Notices

- NIH Grants Policy Statement (Rev. 12/03)

- NIH Extramural Nexus – Monthly newsletter (previously bimonthly) for the extramural community
  http://grants.nih.gov/grants/nexus.htm

- CRISP database - Search to analyze an Institute’s portfolio of funded projects, research areas, and more

- Grant Application Basics
  http://grants.nih.gov/grants/grant_basics.htm
2009 Regional Seminars:
Seminar #1: April 16-17, 2009 in Atlanta, GA
Seminar #2: June 25-26, 2009 in Las Vegas, NV
NIH electronic research administration labs offered in conjunction with the two-day seminar.

- Yearly seminars to educate research administrators, investigators new to NIH, and trainees.
- Due to the popularity of these seminars and availability of space - *Early Registration is Highly Recommended!*
- Seminar and Registration Information: [http://grants.nih.gov/grants/seminars.htm](http://grants.nih.gov/grants/seminars.htm)

Interested in hosting? Contact us!

Grants Information: Who to Contact!

- **Institutional Resources** – First, utilize the expertise of your organization’s *Office of Sponsored Programs*

**Application Specific Questions**

- **Administrative** - Contact the Grants Management Specialist at the awarding Institute/Center
- **Scientific/Programmatic** - Contact the designated Program Official/Director at awarding Institute/Center
- **Review Questions** - Contact the assigned Scientific Review Officer
Grants Information:  
Who to Contact!

• Grants Administration individuals at all NIH ICs:
  – http://grants.nih.gov/grants/staff_list_grants_admin.htm

• NIH Chief Grants Management Officers:

• Grants Policy Interpretation & Consultation:
  – E-Mail: GrantsPolicy@mail.nih.gov
  – Phone: 301-435-0949

• Compliance Issues:
  – E-Mail: GrantsCompliance@mail.nih.gov
  – Phone: 301-435-0949
Thank You!

Any Questions – please hold for the Breakout Session
No. There is no cap on consultant fees and the NIH salary cap does not apply.

HOWEVER,

• Grantees must have written policies for paying consultants that:
  – Are consistently applied regardless of fund source.
  – Include conditions for paying consultant fees.

• Consultants must be properly classified.
  – Consultants are defined as individuals who provide professional services or advice for a fee, but normally are not employees of the organization. This also includes firms that provide advice or services.