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WETP Awardee Meeting



Welcome to the DC!
April 21, 2004



W O R K E R E D U C A T I O N & T R A I N I N G P R O G R A M



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NIEHS Update



- Welcome and Overview
- OSHA/NIEHS Disaster Training Partnership
- RFA Update (Timeline)
- Brownfields 2004 – St. Louis, MO
- Progress Reports
- Future Meeting/Workshops
- NIH Update Highlights

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New Disaster Site Worker Training Program

- Joint project with OSHA, CPWR, HMTRI, L-AGC, IUOE and IAFF
 - Based on lessons learned at the WTC disaster, clear need for more training of skilled support personnel at disaster sites
 - Developed jointly with OSHA, NIEHS Grantees and OSHA Ed Centers
 - NIEHS and OSHA will sign MOU for ongoing work
 - Cards will be distributed by OSHA to certify training

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New Disaster Site Worker Training Program

- Courses for certification
 - OSHA Construction Outreach (10 hrs)
 - Disaster Site Worker (16 hrs)
 - HAZWOPER (40 hrs)
 - Train-the-Trainer Course

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BROWNFIELDS 2004:
Gateway to Revitalization

Sharon Beard



RFA Update & Brownfields 2004

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RFA Discussion

- RFA Release Date will be June/July 2004
- *(tentative)*
- RFA Briefing Meeting will be July/August 2004
- *(tentative)*
- RFA Due Date: October/November 2004
- *(tentative)*
- Review new NIH policies, 398 application procedures, DUNS requirement, etc.
- NIH Website -- <http://www.nih.gov/>



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Brownfields 2004–Gateway to Revitalization

St. Louis, MO:

- September 20-22, 2004
- America's Center
- Sponsorship, Booth, Call for Presentations, NIEHS Brownfields Meeting
- Scholarship Applications due June 1, 2004



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Progress Reports (Timeline)

- Instructions will be provided in May.
- Progress report section will be opened for data entry on June 1.
- Progress Reports are due on July 1.

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DMS – Housekeeping

- Course Hours
 - Use only whole numbers
- Basic Superfund Site Worker Course
 - Course Hours 24 and higher will be accepted in the DMS.
- Course Discrepancies
 - 1-3 hour courses and small number of students

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What's New on the WETP Web Site

- Fact Sheets for the HWWTP & MWTP
- WETP Annual Report
- <http://www.niehs.nih.gov/wetp/home.htm>

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Upcoming Meetings/Conferences

- Mold-Related Health Effects: Clinical, Remediation Worker Protection, and Biomedical Research Issues - Washington DC; June 28-29
- NIEHS WETP Awardee Meeting & Workshop will be held in Fall 2004 in RTP (*tentative*)
- Brownfields 2004 – St. Louis, MO; Sept 20-22
- NIEHS WETP Spring Awardee Meeting & Workshop – Need topic and location recommendations



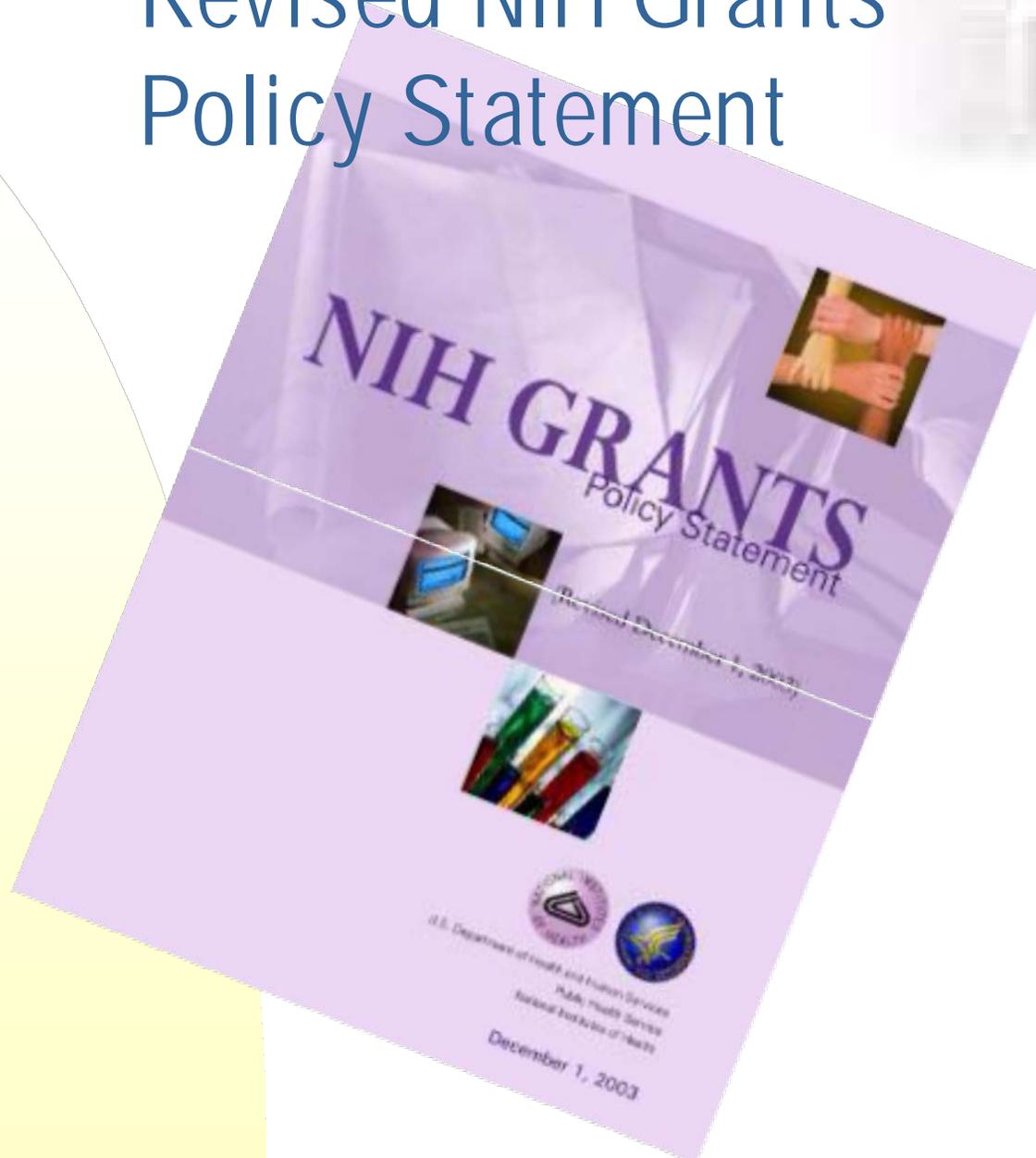
W O R K E R E D U C A T I O N & T R A I N I N G P R O G R A M

Carolyn Mason

NIH Update
Spring 2004



Revised NIH Grants Policy Statement



Revised NIH Grants Policy Statement



- ◆ Published December 1, 2003
- ◆ New NIH Grants Policy Statement includes:
 - ◆ Revisions to NIH policies since March 2001
 - ◆ Clarifications
 - ◆ Public Policy Changes
 - ◆ An index!!

NIH Guide notice that highlights specific changes:

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-04-009.html>

NIH Grants Policy Statement (12/03 revision)

http://grants.nih.gov/grants/policy/nihgps_2003/index.htm

Revised Grants Policy Statement – A Closer Look at Policy Changes



- ◆ Expanded Authorities: applies to all mechanisms and is a standard term of award
- ◆ Implementation of data-sharing plan
- ◆ NRSA part-time training
- ◆ Audit: threshold for A-133 audits have increased from \$300,000 to \$500,000 for fiscal years ending on or after 12/31/03.

Revised Grants Policy Statement – A Closer Look at Policy Changes



- ◆ Closely related work: no longer requires NIH prior approval
 - ◆ NIH will apply the relatedness provision of A-21 to all NIH recipients, which states if a cost can not be reasonably allocated to a specific project; it can be charged to any of the benefiting projects on any reasonable basis.

Revised Grants Policy Statement – A Closer Look at Policy Clarifications



Key Personnel:

- ◆ Expanded definition to:
describe contribution of key personnel as “measurable”
whether or not salaries are requested.

Clinical Practice Plan (IBS):

- ◆ Clinical practice plan appointment compensation may be considered in the base salary as long as *all* criteria are met.
 - ◆ Clinical practice compensation must be guaranteed by the university
 - ◆ Clinical practice effort must be shown on the university appointment form and must be paid through the university
 - ◆ Clinical practice effort must be included and accounted for on the university’s effort report

Revised Grants Policy Statement – A Closer Look at Policy Clarifications



- ◆ Consortium section: grantee is responsible for including applicable requirements of the policy statement in the written agreements and written agreements must include a reference to financial conflict of interest, intellectual property, and data sharing requirements
- ◆ PI eligibility includes a discussion of PI and citizenship status

Revised Grants Policy Statement – A Closer Look at Document Enhancements



- ◆ Definitions: NIHGPS and PHS 398 glossaries-similar definitions were merged
- ◆ Other support policy located in the PHS 398 is now included in the glossary of the NIHGPS
- ◆ NIHGPS roles/titles: will be cross-referenced with eRA roles/titles

Revised Grants Policy Statement – A Closer Look at Document Enhancements



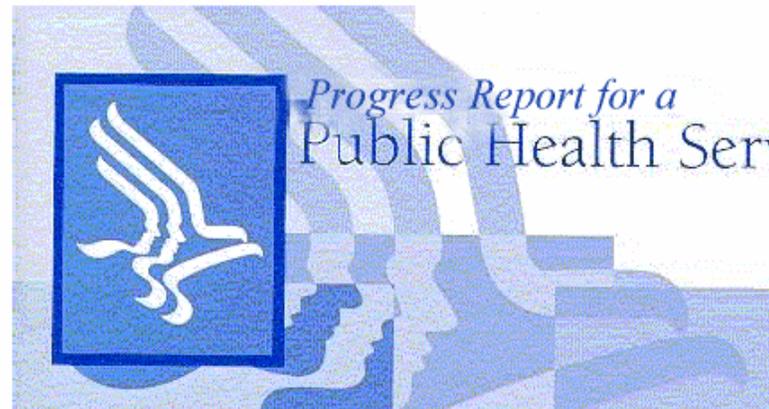
- ◆ Abbreviations and acronyms: are used throughout the policy statement without parenthetical – master list provided to identify unfamiliar terms, abbreviations, and/or acronyms.
- ◆ Index included.



Revised Grants Policy Statement – A Closer Look at Public Policy Changes

- ◆ USA PATRIOT Act
- ◆ Select Agents Law
- ◆ HIPAA
- ◆ Human Embryonic Stem Cells
- ◆ Fetal cells/tissues (FDA jurisdiction) and associated responsibilities for grantees

Revisions to the PHS 398 and 2590 Forms



PHS 398 Revision

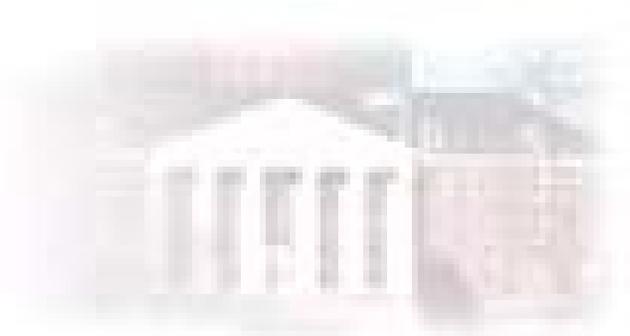
(Spring 2004)



- ◆ Extensively rewritten with a focus on clarity, simplicity, & plain language
- ◆ Reorganized into 3 Parts
 - ◆ Part I: Instructions (now are truly just application instructions)
 - ◆ Part II: Supplement Instructions for Preparing the Human Subjects Section of the Research Plan
 - ◆ Part III: Policies, Assurances, Definitions & Other Information

PHS 398 Revision

(Spring 2004)



- ◆ Fully incorporates all application-related policy changes implemented since the 5/01 version
- ◆ Form pages available in fill-able Word & PDF formats
- ◆ Expect OMB clearance in Spring 2004
- ◆ Existing forms will be accepted until new ones become available
- ◆ Expect a transition period where both will be OK (will also allow time for electronic systems to be updated with any new data elements approved by OMB)

PHS 398 Revision

(Spring 2004)



- ◆ Actual form pages have only a few changes
- ◆ Harmonizing paper & electronic submissions by adding NIH Commons ID on face page, key personnel section & biosketch
- ◆ Face Page:
 - ◆ Adding face page data element for clinical trials (Previously only flagged NIH defined Phase III)
 - ◆ Increased length of title field to 81 characters

PHS 398 Revision (Spring 2004)



- ◆ Will continue to keep policies & definitions in sync with NIH GPS

PHS 2590 Revision

(Spring 2004)



- ◆ Fully incorporates all application policy changes implemented since the 5/01 version
- ◆ Form pages available in fillable Word & PDF formats
- ◆ Expect OMB Clearance in Spring 2004
- ◆ Existing forms will be accepted until new ones become available

PHS 2590 Revision

(Spring 2004)



- ◆ Included information on electronic submission via e-SNAP
- ◆ Incorporated refined definition of Key Personnel
- ◆ Incorporated new category “Other Significant Collaborators” throughout instructions
- ◆ For SNAP—modified instructions to now require submission of complete Other Support Pages if changes in active support are noted



DUNS Numbers



New Requirement for DUNS Numbers on Applications



Effective October 1, 2003, Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) numbers must be included in every application for a new or competing continuation grant or cooperative agreement

- ◆ This is a Federal-wide requirement that applies to organizations

See June 27, 2003 Office of Management and Budget Federal Register Notice

<http://a257.g.akamaitech.net/7/257/2422/14mar20010800/edocket.access.gpo.gov/2003/03-16356.htm>

Requirement for DUNS Numbers



- ◆ Grantee organizations should verify that they have a DUNS number.
 - ◆ Organizations can secure a DUNS number at no cost at tel. 1-866-705-5711 or URL <http://www.dunandbradstreet.com>
- ◆ Requirement applies to organizations. Non-affiliated individuals are exempt
- ◆ Q & As may be found at http://grants.nih.gov/grants/duns_qa.doc

NIH Guide, August 14, 2003

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-03-055.html>



Important Reminders

Salary Cap



- ◆ Restricts the amount of direct salary under a grant or contract to Executive Level I of the Federal Executive Pay Scale
- ◆ Executive Level I increase effective January 1, 2004



Reminder

Other Support



- ◆ NIH requires complete and up-to-date other support information before an award can be made
 - ◆ Complete means all sources of research support (including outside the applicant organization)
- ◆ Grantees must report changes in other support as part of the annual progress report

NIH Guide, February 13, 2003

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-03-029.html>

Reminder

Financial Conflict of Interest



When a conflict of interest is identified, the grantee must:

- ◆ Notify Chief GMO to assure that the conflict of interest is being managed, reduced, or eliminated
- ◆ Provide additional information, if requested

FCOI requirements must be addressed in consortium agreements

See the following NIH Guide Notices for additional information:

<http://grants2.nih.gov/grants/guide/notice-files/NOT-OD-00-040.html>

<http://grants2.nih.gov/grants/guide/notice-files/not95-179.html>

Reminder

Closeout Final Reports



- ◆ Failure to submit timely final reports may affect future funding to the organization
 - ◆ Final Financial Status Report (FSR)
 - ◆ Final Invention Statement and Certification
 - ◆ Final Progress Report

Non-competing Continuation Grant Progress Reports



- ◆ NIH implemented two separate progress report e-mail reminders to the PI in June 2003
 - ◆ Two months prior to the due date
 - ◆ Two weeks after the due date for overdue reports
- ◆ Commons-registered institutions and PIs
 - ◆ Have access to due date information through the Commons Status system.
 - ◆ PIs also have access to pre-populated face pages via Status
- ◆ All grantees have access to a searchable list of due progress reports at http://era.nih.gov/userreports/pr_due.cfm

NIH Guide, August 5, 2003

<http://grants1.nih.gov/grants/guide/notice-files/NOT-OD-03-054.html>

Applications and Forms: Questions?



Dedicated e-mail address for questions on format:
format@mail.nih.gov

Frequently asked questions on format:
<http://www.format.nih.gov>



