



National Institute of Environmental Health Sciences
Your Environment. Your Health.

WTP Grantee Meeting

NIEHS

Rodbell Auditorium

September 28, 2015

1:00 p.m. – 5:00 p.m.





GRANTS MANAGEMENT GROUND RULES

Mr. George Tucker

Chief, Grants Management Branch

Division of Extramural Research & Training

National Institute of Environmental Health Sciences



Grants Management Overview

- Worker Training Program mechanisms
- Team Players of the NIH Grants Process
 - Grantee Institution
 - NIEHS Extramural Staff
- NIEHS Organizational Structure
- Questions

I Got Funded



WTP Superfund Grants

Three Programs

Cooperative Agreement –

- Is a Financial Assistance mechanism
- Substantial NIEHS Staff involvement in program and research/training

Mechanisms:

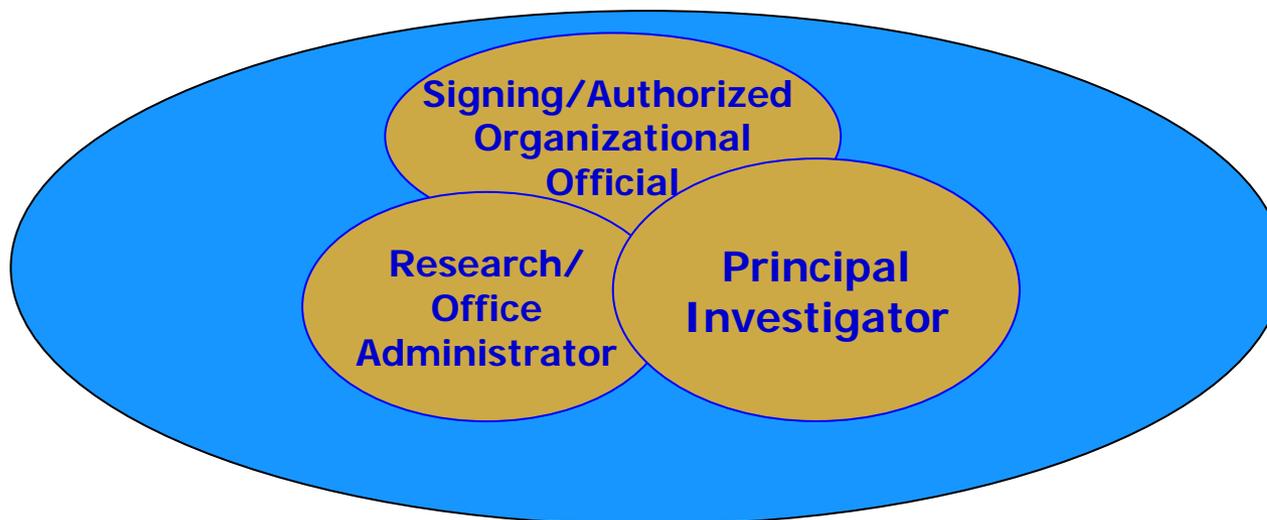
- U45 – Hazardous Waste
- UH4 - DOE
- R43 and R44 Small Business – minimal NIEHS Staff involvement



All Programs are Initiated by NIEHS-Specific RFAs with Set-aside Funding.

Team Players of the NIH Grants Process:

The Grantee Institution Team



The Grantee Institution

- Actual recipient of award**
- Legally responsible for proper conduct and execution of the grant**
- Provides fiscal management**
- Provides oversight on allocation decisions**
- Assures compliance with Federal, NIH and organization-wide requirements**





The Grantee Institution

Responsibilities of:

The Authorized Organizational Official (AO) [*aka* Authorized Organization Representative (AOR) or Signing Official (SO)]

- Designated Representative of the Grantee Institution
- Accountable for appropriately utilizing Federal funds for the performance of the project
- Signs ALL official correspondence to NIH

The Principal Investigator (PI)

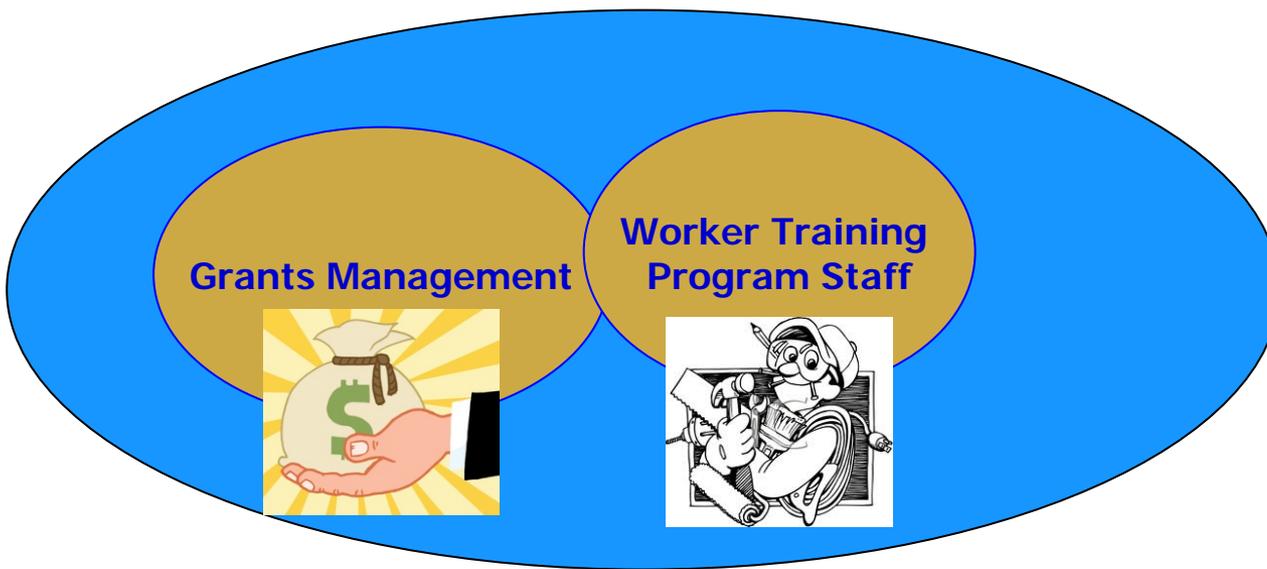
- Responsible for the technical aspects of the project
- Assures compliance by maintaining contact with the NIH Program Official
- Ensures Federal support is acknowledged in publications

The Responsibilities of the Research/Office Administrator

- Acts as an agent for the PI
- Gathers information needed to ensure compliance with Federal regulations, policies and procedures
- Provides essential grant-related support



The NIEHS Extramural Team





The NIEHS Extramural Staff

Program Official [*aka* Program Director or Program Officer]

- ❑ Responsible for programmatic, scientific and/or technical aspects of the grant

Grants Management Specialist/Officer (GMS/GMO)

- ❑ Responsible for ensuring that all required business management actions are performed by the grantee and the federal government in a timely and appropriate manner both pre and post award.
- ❑ Provide guidance on the administrative and fiscal aspects of an award

GMS Contacts.....Questions???

- George Tucker – (919) 541-2749
tuckerg@niehs.nih.gov
- Pam Clark – (919) 541-7629
evans3@niehs.nih.gov

