

WETP AWARDEE MEETING



Sheraton Hotel, Chapel Hill, NC

October 20, 2009

Joseph “Chip” Hughes, Director

James “Jim” Remington
Program Analyst, WETB

ARRA and DOE Supplements



- ARRA and DOE Supplemental Funding Overview
- ARRA Reporting
- Web Page and DMS Updates
- DOE 851 Rule: Awareness Training Sessions for Workers Challenges and Lessons Learned for Trainers Workshop (October 28-29, 2009)
- Upcoming Meetings
- Lead Repair and Renovation Rule

Kathy Ahlmark
Program Analyst
WETB
Program Updates



- Please review your individual web pages located on our website and the Clearinghouse website and send us any updates.
- Please remember to mark your data and progress reports complete when you are done.
- The deadline for DOE progress reports is October 31.
- A new updated Data Management System (DMS) user manual is being generated that will be linked to all the pages.
- All new or substantially modified curricula needs to be approved by your Program Official and uploaded into the DMS immediately.

- If a course is given with *supplemental funds* - the awardee has to make sure that he/she selects which supplemental fund (from the *supplemental funds select box*) when entering the course on the **Course Data Entry Screen**. This allows WETP to track which courses and how many were given with supplemental funds. There will soon be DOE and ARRA drop down options. These will be treated as regular supplements and the reporting requirements will be the same as any regular supplement.

Add/Edit HWWT Course Offerings for WETP Test

No course offering records were found for the criteria specified.
You may add new records by selecting the button 'Add New Record',
return to the query page to edit your selections or
return to the query page to perform a new query.

Please note: If you choose to add a new record, the record will be added to the database
but will not be displayed unless it fulfills the query parameters that you entered.

[Edit Previous Query Page](#)

| | | | |
|-----------------------|---|------------------------|---|
| Program: | HWWT | Training Year: | 08/01/2009 - 07/31/2010  |
| Status: | PROJECTED  | Course Number/Name: | 101-Basic Superfund Site Worker  |
| Course Date: | TBD  | Training Organization: | Sub1  |
| Course State: | AK  | Course Hours: | <input type="text"/> |
| Number of Students: | <input type="text"/> | ATT? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| Supplemental Funding: | NONE  ARRA CARRYOVER NONE SUPPLEMENT | Special Circumstances: | NONE  |
| Student State: | | Number of Records: | <input type="text" value="1"/> |
| Remarks: | <input type="text"/> | | |

- For Minority Worker Training - if a student is trained using *supplemental funds* - the awardee has to make sure that he/she selects which supplemental fund (from the *supplemental funds select box*) when entering the student demographic information on the **Student Demographic Data Entry Screen**. This allows WETP to track which students and how many were trained with supplemental funds.
- For Minority Worker Training - there have been several additions to the *Type of Work select box* used when adding student demographic data. The new items in the list are: Energy, Green Jobs, Green Construction, Solar, Weatherization and Wind.

Add/Edit MWT Student Data Records for WETP Test

No course offering records were found for the criteria specified. You may add new records by selecting the button 'Add New Record', return to the query page to edit your selections or return to the query page to perform a new query.

Please note: If you choose to add a new record, the record will be added to the database but will not be displayed unless it fulfills the query parameters that you entered.

[Edit Previous Query Page](#)

[Perform a New Search](#)

| | | | |
|-----------------------|---|--|--|
| Program: | MWT | Training Year: | 08/01/2009 - 07/31/2010  |
| Student Number: | <input type="text"/> | Completed Program? | <input type="text" value="Yes"/> |
| Age: | <input type="text"/> | Race: | <input type="text" value="American Indian"/> |
| Gender: | <input type="text" value="M"/> | Level of Education: | <input type="text" value="NO GED"/> |
| Currently Employed: | <input type="text" value="No"/> | Prior Employment Status: | <input type="text" value="Unemployed"/> |
| Superfund Site: | <input type="text" value="No"/> | Site Name: | <input type="text"/> |
| Type of Work: | <input type="text" value="Glazier"/> <input type="text" value="Green construction"/> <input type="text" value="Green jobs"/> <input type="text" value="Haz Waste"/> | If Type of Work is 'Other', please specify the type of work. | <input type="text"/> |
| Supplemental Funding: | <input type="text" value="ARRA"/> <input type="text" value="CARRYOVER"/> <input type="text" value="NONE"/> <input type="text" value="SUPPLEMENT"/> | | |

- If you have any technical issues or get error messages, please contact Lynn Albert albert2@niehs.nih.gov.
- We welcome any suggestions that would improve the functionality of the DMS and make it more helpful for you.

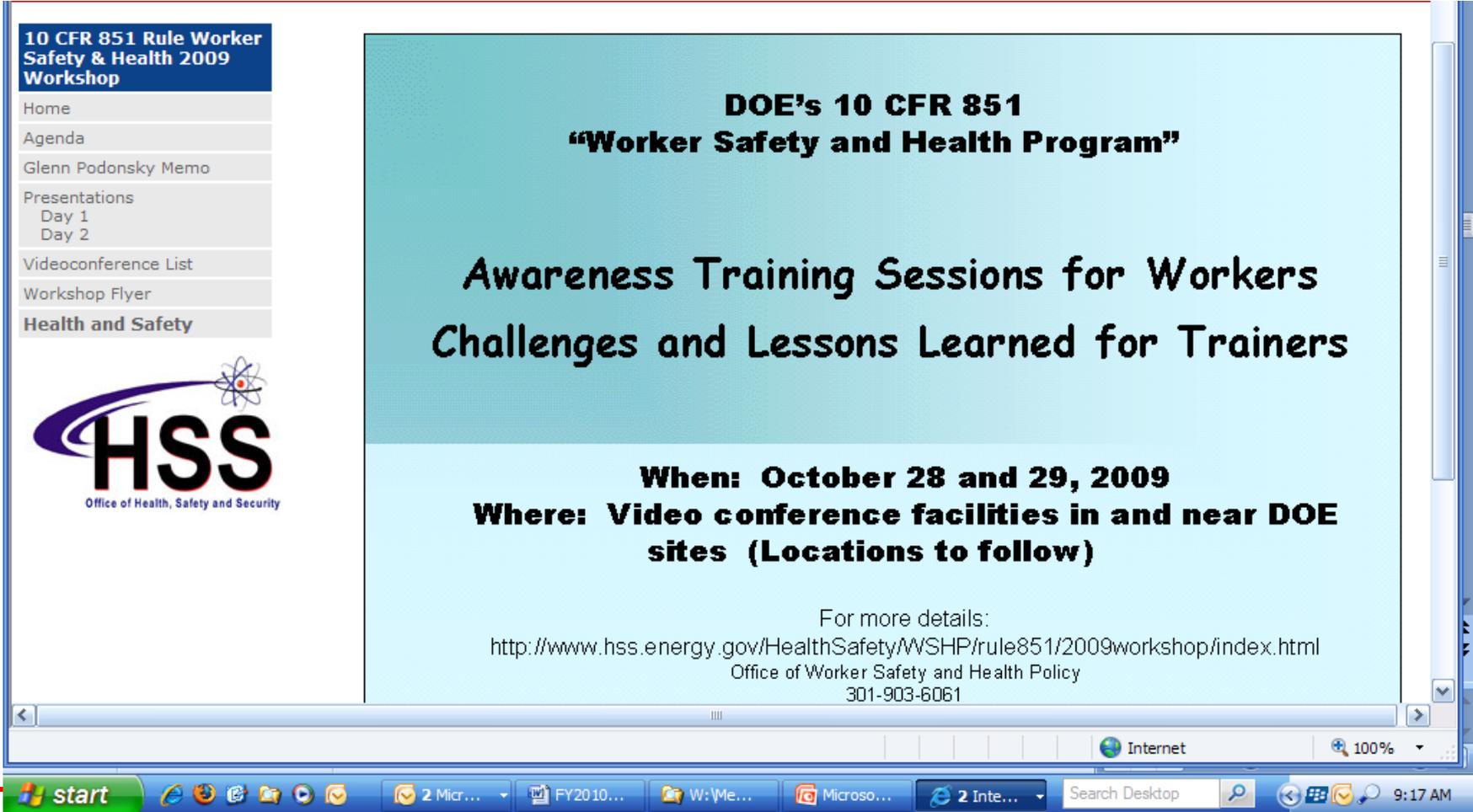
- Kathy Ahlmark ahlmark@niehs.nih.gov
- Ted Outwater outwater@niehs.nih.gov

Ted Outwater Public Health Educator/Program Administrator, WETB

Program Updates



DOE Video Conference Next Week



10 CFR 851 Rule Worker Safety & Health 2009 Workshop

- Home
- Agenda
- Glenn Podonsky Memo
- Presentations
 - Day 1
 - Day 2
- Videoconference List
- Workshop Flyer
- Health and Safety



HSS
Office of Health, Safety and Security

**DOE's 10 CFR 851
"Worker Safety and Health Program"**

**Awareness Training Sessions for Workers
Challenges and Lessons Learned for Trainers**

When: October 28 and 29, 2009
Where: Video conference facilities in and near DOE sites (Locations to follow)

For more details:
<http://www.hss.energy.gov/HealthSafety/WSHP/rule851/2009workshop/index.html>
Office of Worker Safety and Health Policy
301-903-6061

Internet 100%

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WORKER EDUCATION AND TRAINING PROGRAM

DOE conference con't.

Two Sets of Objectives:

For workers:

- **Provide overview of 851 worker rights and responsibilities - Workers can select any one of four 2-hour training sessions**

For trainers and S&H professionals:

- **Present 851 training initiatives - Describe enforcement strategy - Explore collaborations**

Featuring...Doug Gary Randy and Don

- 851 Awareness Training Provided to Workers [1 ½ Hour Training + QAs]



Programmatic Approaches

- Support for Spanish speaking workers
- Making Green Jobs Safe Jobs

Sharon D. Beard Industrial Hygienist, WETB

Upcoming Meetings

Lead Renovation, Repair, & Painting Rule



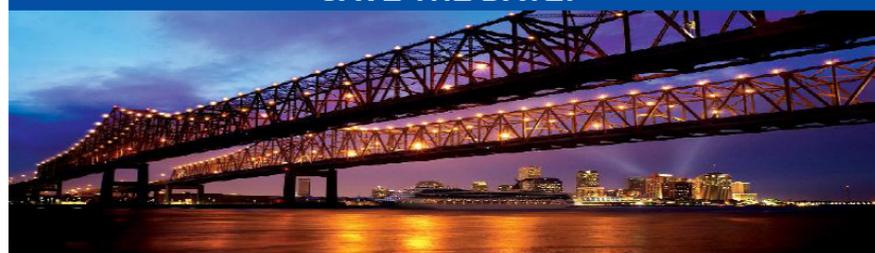
- APHA 2009 Philadelphia, PA Nov. 8-11, 2009
- Brownfields 2009 New Orleans, LA Nov. 15-18, 2009
- DOE 851 Rule Awareness Training Washington, DC October 28-29, 2009
- 10th National Conference on Science, Policy, & the Environment: *The New Green Economy.* Washington , DC January 20-22, 2010
- 2010 EJ, Air, & Green Jobs Evolution and Innovation New Orleans, LA January 25-27, 2010
- EPA NEJAC Meeting New Orleans, LA January 27-29, 2010
- 2010 Good Jobs/Green Jobs Washington, DC May 4-6, 2010

2010 Conference on Environmental Justice, Air, Goods Movement and Green Jobs: Evolution and Innovation

NIEHS WETP is Co-Sponsoring this
event and it our Spring Technical
Workshop
We need you to:

| | | |
|-----------------------------------|---------------------------|--|
| Provide Speaker Suggestions | Submit Poster Abstract | Invite & Bring Community Partners |
| Attend the Event | Publicize the Event | Volunteer to participate |

SAVE THE DATE!



2010 Conference on Environmental Justice, Air, Goods Movement and Green Jobs: Evolution and Innovation

| | | |
|------------------------|--|---|
| When: | January 25-27, 2010 | <p>TOPICS</p> <p>This Conference provides an opportunity for participants to learn about the following:</p> <p>Goods Movement - new initiatives to reduce the impacts of transporting goods or produce.</p> <p>Sustainability/Green Jobs - sustainability in the context of jobs aimed at preserving ecosystems and reducing consumption.</p> <p>Ambient Air/Climate Change - impacts of ambient air pollutants and greenhouse gases on disproportionately affected communities.</p> <p>Hazardous Waste Cleanup/Job Training - impacts and challenges of hazardous materials, waste exposure and cleanup activities.</p> |
| Who: | Communities, tribes, advocates, city/county/state governments, colleges/universities, faith-based organizations, businesses and other stakeholders interested in learning about opportunities to move toward environmental justice in their communities. | |
| Where: | New Orleans, Louisiana (Hotel information forthcoming) | |
| Poster Session: | The Planning Committee invites abstracts for poster presentations during this conference. Go to the Poster Abstract page at http://tools.niehs.nih.gov/wetp/events.cfm?id=2485 for more information. | |
| More Info: | For more information please visit http://www.cleanairinfo.com/ejconference | |
| Contact: | Lena (Vickey) Epps-Price Workshop Coordinator Phone: 919-541-5573 Email: epps-price.lena@epa.gov | |

While making your plans to attend the Environmental Justice Conference, you may want to consider staying in New Orleans for the National Environmental Justice Advisory Council (NEJAC) meeting, which will also be held at the same location. The NEJAC meeting is scheduled for Wednesday, January 27 through Friday, January 29, 2010. For more information and to register for the NEJAC meeting, please visit <http://www.epa.gov/compliance/environmentaljustice/nejac/meetings.html>

Sponsored by the United States Environmental Protection Agency (EPA), Office of Air Quality Planning and Standards (OAQPS) and co-sponsored by Dillard University and the National Institute of Environmental Health Sciences (NIEHS), Worker Education and Training Program



- On April 22, 2008, EPA published a final rule under the authority of § 402(c)(3) of the Toxic Substances Control Act (TSCA) to address lead-based paint hazards created by renovation, repair, and painting activities that disturb lead-based paint in “target housing” and “child-occupied facilities.” *September
- **Applicability:** Covers renovation, repair and painting activities that disturb painted surfaces in target housing and child occupied facilities. Excludes:
 - Renovation of components with no lead-based paint
 - Minor repair and maintenance
 - Renovations performed by homeowners in their own homes
- Opt-out provision: -- Homeowners may opt out if they occupy the home to be renovated, the home is not a COF, and no child under age 6 or pregnant woman lives there.
- This program applies only in States and Tribes that have not received authorization by EPA to administer their own program.

*Reference: Presentation from Marc Edmonds EPA Office of Pollution Prevention and Toxics, US EPA Final Rule, August, 2009 (§ 402(c)(3) of the [Toxic Substances Control Act \(TSCA\)](#))
<http://www.brownfields-toolbox.org/files/Brownfields%20Conf%208-27-09.pdf>

- **Certification of Individuals**
- Must take 8-hour accredited training course:
 - Certified Renovators - perform & direct renovation activities
 - Dust sampling technicians - perform dust sampling *not for an* abatement
- Course certificate serves as certification (no application to EPA required)
- Refresher training is required every 5 years
- Other workers do not need certification
- Certification allows the renovator to perform renovations in any non-authorized state or Indian tribal area

* Reference: Presentation from Marc Edmonds EPA Office of Pollution Prevention and Toxics, US EPA US EPA Final Rule, August, 2009 (§ 402(c)(3) of the Toxic Substances Control Act (TSCA))



NIH Update – Fall 2009



New NIH Director

- Francis S. Collins, M.D., Ph.D., was officially sworn in on Monday, August 17, 2009 as the 16th director of the National Institutes of Health (NIH). Dr. Collins was nominated by President Barack Obama on July 8, and was unanimously confirmed by the U.S. Senate on August 7. (Formerly served as Director of the National Human Genome Research Institute (NHGRI), NIH from 1993-2008.)





NIH Recovery Web site www.nih.gov/recovery

U.S. Department of Health & Human Services www.hhs.gov
 Employee Info | Staff Directory | En Español
 National Institutes of Health
 The Nation's Medical Research Agency
 search
 >> Advanced Search

HOME HEALTH GRANTS NEWS RESEARCH INSTITUTES ABOUT NIH

Home [Email this page](#)

NIH and the ARRA

Quick Links
 Mission
 Leadership
 Research Planning
 Budget
 Organization
 History
 Photo Galleries
 NIH Almanac
 Outreach & Education
 Public Involvement
 Small Business Opportunities
 Jobs
 FAQ
 Visitor Information
 Contact NIH
 Find NIH Staff

American Recovery & Reinvestment Act

Sign up to receive NIH and the American Recovery & Reinvestment Act e-mail updates.

Overview of the American Recovery and Reinvestment Act of 2009 (Recovery Act). The American Recovery and Reinvestment Act of 2009 (Recovery Act) is an unprecedented effort to jumpstart our economy and address long-neglected challenges so our country can move forward unlike any since the Great Depression. The Act will stimulate economic independence, expand educational opportunities, and support those in greatest need.

>> Overview of the Recovery Act
<http://www.hhs.gov/recovery/overview>

RECOVERY.GOV

>> Implementing the Recovery Act
<http://www.hhs.gov/recovery/programs/index.html>

>> Learn more about programs that issue grants under the Recovery Act
<http://grants.nih.gov/recovery/>

Announcements

>> Applications for \$1.5 Billion in Recovery Act Funds Now Available
<http://www.nih.gov/news/health/mar2009/od-10.htm>

>> The NIH has designated at least \$200 million in FYs 2009—2010 for a new initiative called the NIH Challenge Grants in Health and Science Research. This new program will support research on topic areas that address specific scientific and health research challenges in biomedical and behavioral research that would benefit from significant 2-year

ARRA Grant Funding
 Opps and Info at
Grants.nih.gov/Recovery



Special ARRA Terms and Administrative Requirements

- In addition to the standard NIH terms of award, all NIH ARRA awards will include special ARRA terms
 - http://grants.nih.gov/grants/policy/NIH_HHS_ARRA_Award_Terms.pdf (updated July 8, 2009).
- ARRA requires recipients to account for, track, monitor, report on, provide for audit of, and closeout ARRA funding separately from non-ARRA awards
 - Unique business processes established
 - Guide Notice NOT-OD-09-080 describing ARRA features; updated in Notice NOT-OD-09-120
 - Special Quarterly Reporting Requirements



Reporting and Accountability

- The opportunity afforded by the ARRA also carries enhanced accountability and transparency.
 - Grantees must fulfill detailed quarterly budget reporting requirements, consistent with section 1512(c) of the ARRA by October 10, 2009.
 - Reports will summarize total amount of ARRA funds received that quarter and how those funds were expended.
 - This includes a detailed list of all projects or activities and an estimate of the number of jobs created or retained through those projects and activities.
- *OMB posted Guidance Memo M-09-21 on June 22, 2009*
http://www.whitehouse.gov/omb/assets/memoranda_fy2009/m09-21.pdf
- *OMB clarified this document through Q&As:*
http://www.whitehouse.gov/omb/recovery_faqs/



Recipient Reporting

- Reports will be entered at www.FederalReporting.gov and will be publicly available at www.recovery.gov
 - Includes web based form, MS Excel template and XML schema options for report submission
- OMB Data Model v.3.0
 - OMB released the Recipient Reporting Data Model v3.0 for ARRA, Quarter Ending September 30, 2009.
 - Includes a Data Dictionary describing the data elements specifically required for recipient reporting
 - Additional NIH guidance (*based on the OMB Data Model*):
http://grants.nih.gov/recovery/Data_Dictionary.xls.



Recipient Reporting

- NIH FAQs address ARRA recipient reporting NIH-specific concerns:
http://grants.nih.gov/recovery/faqs_recovery.html
- ARRA Quarterly Report Sample Templates:
http://grants.nih.gov/recovery/sample_quarterly_reports.html
- ARRA Data Reports:
<http://report.nih.gov/recovery/index.aspx>
(includes most data needed for quarterly reports)



Accessing ARRA \$\$\$ in the Payment Management System (PMS)

- Unique “P” accounts for ARRA funds require a new process to access those funds.
 - Cash pooling is not permitted. When a grantee requests ARRA funds, the grantee must separately account for those funds by subaccount/grant document.
 - If a grantee has more than one “P” account, the grantee **MUST** request funds by subaccount (grant document).
 - Existing PMS users can access the “P” accounts using already established login & password.



New “P” Accounts and the SF272

- When a grantee processes its quarterly 272 Federal Cash Transaction Reports, if the grantee received ARRA funds the previous quarter, the grantee will see two different reports. One report for their “G” account and one report for their “P” account.
- Each 272 must be submitted or a hold will be placed on their Division of Payment Management (DPM) account.



Unique Document Number Schema

- All HHS agencies required to adopt a unique Document Number Schema for ARRA awards.
 - For NIH, core schema traditionally used will remain intact; except the last character will be “Z” for initial awards.
 - Example: Grant Number= 1 R01 AI123456-01; ARRA Doc # = RAI123456Z
 - Transfers (T-7s): Transfer “competitive segment” for ARRA grants will be denoted with “Y”, “X” or “W” when applicable.



Administrative Supplements & Competing Revisions

- Need to accommodate the “separation” and accountability required by ARRA while maintaining a programmatic connection to the parent grant
 - ARRA T-3 budget/projects periods reflect that of the T-3 only and not the parent
 - ARRA T-3s issued in FY2009 may be for a single budget/project period.
 - Specific information on ARRA additional funding must be included in annual progress report of the parent grant.
- No-cost Extensions of ARRA-funded T-3s
 - ARRA T-3s are awarded under NIH Terms of Award and therefore are eligible for a one-time no-cost extension
 - This is separate from the parent record



Administrative Supplements & Competing Revisions (cont.)

- No “co-mingling” of ARRA T-3 and parent grant \$\$\$ allowed (assuming parent grant funded with regular appropriation \$\$\$)
 - Separate institution accounts and PMS drawdowns
 - No rebudgeting between parent and ARRA T-3
 - No carryover of ARRA T-3 balances into the parent
- Separate Financial & Closeout Reports Required



Grants Management Policies



Coming Soon: Restructured Applications and Instructions

- Implementation of goal: *Align the structure and content of applications with review criteria, and shorten the length of applications*
- Three sections of application instructions to be revised:
 - Research Plan
 - Biographical Sketch
 - Resources and Facilities
- Shorter Page Limits
- *For additional information see NIH Guide Notice NOT-OD-09-149 issued 09/16/09.
(<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-09-149.html>)



Biographical Sketch Changes

- *Personal Statement:* Tell us why your experience and qualifications make you particularly well-suited for your role in the project
- *Publications:* Include no more than 15, and make selections based on recency, importance to the field, and/or relevance to the application
- *Page limit remains at 4*



Facilities and Resource Changes

- Provide a description of how the organizational environment will contribute to the probability of success of the project



Revised Progress Report

- Use required as of 10/01/2009
- eSNAP changes released 10/09/2009
- Policy changes:
 - All Personnel Report (PD/PIs & all > 1 person month)
 - Biosketch (peer review initiative change)



New Threshold for Negotiating F&A Rates on SBIR/STTR Grants

SBIR/STTR applicants proposing F&A rates of 40% of direct costs or less will not be required to provide further justification at the time of award, and F&A costs will be awarded at the requested rate.

- NIH retains authority to require well-documented proposals for F&A rates on an ad-hoc basis.
- If applicant has a currently effective rate, such rates should continue to be used in NIH applications.
- Policy applies to all competing SBIR/STTR applications submitted for FY2009 funding and beyond. Non-competing awards funded in 2009 or earlier will continue to be funded at the committed level if between 25%-40%.



FY 2009 NIH Salary Cap

Effective January 1, 2009, salary and wages on NIH grants limited to an annual rate of \$196,700 (equal to Executive Level I).

- An individual's base salary is NOT constrained by the legislative provision for a limitation of salary. An institution may pay an individual's salary amount in excess of the salary cap with non-federal funds.
- Grantees should provide information on full salary needs (if more than the cap) so NIH can adjust based on future limits.
- Salary Cap Summary (Historical Information):
http://grants.nih.gov/grants/policy/salcap_summary.htm

More at: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-09-069.html>



Federal Funding Accountability and Transparency Act (FFATA)

“Public Law 109-282 requires information on every grant, including 1) the amount, 2) descriptive purpose of the grant, and 3) name and location of recipient of primary performance location.”

- Prepare for potential increased attention and scrutiny when data is made publicly available.
- NIH has tailored processes to meet its requirements.
- FFATA has required form changes in the 424 (R&R) and PHS 398 to capture performance site information. – **will be implemented for submissions beginning 1/25/2010.**
- Requires reporting on all subawards over \$25,000.



Highlights of New and Existing Grant Requirements



Audit Requirements

All NIH Grantees that expend \$500,000 or more within a year in Federal awards are subject to an audit requirement.

- Audits are due within the earlier of 30 days after receipt of the auditor's report(s) or 9 months after the end of the grantee's audit period.
- Grantees delinquent in submitting audits risk the imposition of sanctions and potential loss of Federal funds.



Closeout Final Reports

*Grantees are **strongly encouraged** to submit closeout documents electronically through the eRA Commons!*

- Documents are due within 90 days of project period end date
 - Final Financial Status Report (now required electronically)
 - Final Inventions Statement & Certification
 - Final Progress Report
- Grantees must ensure that there are no discrepancies between the final Financial Status Report (SF-269 or 269A) and the Payment Management System's Federal Cash Transaction Report (SF-272).
- Failure to submit timely reports may affect future funding to the organization!

More at: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-09-128.html>



NIH Centralized Processing Center

- Centralized office accepts receipt of all non-financial, paper-based closeout documents
 - Final Progress Report
 - Final Invention Statement and Certification
- If not using eRA Commons, mail to the Central NIH unit at:
 - NIH Centralized Processing Center
 - 6705 Rockledge Drive, Room 2207, MSC 7987
 - Bethesda, MD 20892-7987 (for regular or US Postal Service Express mail)
 - Bethesda, MD 20817 (for other courier/express mail delivery only)



Available Resources

Resources for the Adobe transition can be found on:

- **NIH Electronic Submission of Grant Applications Web site:**

<http://era.nih.gov/ElectronicReceipt/>

- **Grants.gov Web site (Applicant Resources):**

http://grants.gov/applicants/app_help_reso.jsp



eRA Commons



Profile Maintenance

- PIs have an obligation to keep information in their Personal Profile current.
- Profile data used in other electronic processes (e.g., Summary Statements, eNotifications)
 - Degrees
 - Position Titles
 - Addresses - e-mail & postal





Helpful NIH Technical Assistance Resources



Summary of Helpful NIH Web Pages

- Office of Extramural Research (OER) Web Page
<http://grants.nih.gov/grants/oer.htm>
- NIH Searchable Database of RFAs, PAs, and Guide Notices
<http://grants.nih.gov/grants/guide/index.html>
- NIH Grants Policy Statement (Rev. 12/03)
http://grants.nih.gov/grants/policy/nihgps_2003/index.htm
- NIH Extramural Nexus – Monthly newsletter (previously bimonthly) for the extramural community
<http://grants.nih.gov/grants/nexus.htm>
- RePORTER - Search to analyze an Institute's portfolio of funded projects, research areas, and more
<http://projectreporter.nih.gov/reporter.cfm>
- Grant Application Basics
http://grants.nih.gov/grants/grant_basics.htm



NIH OER Listserv Addresses and Instructions

- eSubmission:
 - <http://era.nih.gov/ElectronicReceipt/listserv.htm>
 - Separate listservs available for scientists and administrators
- NIH Guide for Grants and Contracts:
 - <http://grants.nih.gov/grants/guide/listserv.htm>
 - The official publication for NIH medical and behavioral research Grant Policies, Guidelines and Funding Opportunities



Grants Information: Who to Contact!

- **Institutional Resources** – First, utilize the expertise of your organization's ***Office of Sponsored Programs, Grants and Contracts, Business Office***

Application Specific Questions

- **Administrative** - Contact the Grants Management Specialist at the awarding Institute/Center
- **Scientific/Programmatic** - Contact the designated Program Official/Director at awarding Institute/Center
- **Review Questions** - Contact the assigned Scientific Review Officer



Thank You!

**Any Questions—Please hold for
Breakout Session**